

# DRONE RESPONSE PLAYBOOK FOR PUBLIC SAFETY

September 2020



We are at an exciting time in aviation, where drones are being safely integrated into our national airspace for recreational, commercial, and public safety uses. However, unauthorized operations can cause potential hazards to people and property both in the air and on the ground. This *Drone Response Playbook for public safety* is a resource for public safety officials who conduct investigations into drone operations. The Playbook can help determine the difference between authorized and unauthorized drone operations and what actions public safety agencies may take. We encourage you to research local rules and regulations and add them to this document (page 13) so that they are available when needed.

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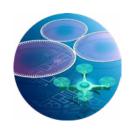
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#### PUBLIC SAFETY DRONE PLAYBOOK

03

# A QUICK REFERENCE GUIDE TO PROHIBITED DRONE OPERATIONS UNDER PART 107 (SMALL UAS RULE)



Drone flights within 3.45 miles of a qualifying event at a stadium or sporting venue without an FAA authorization.



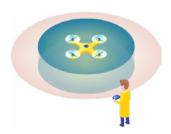
Flights over people without an FAA waiver.



Night operations without an FAA waiver.



Failure to give right-of-way to manned aircraft without an FAA waiver.



Operations beyond visual line of sight without an FAA waiver.



• Operation while under the influence of alcohol and/or drugs.



• Hazardous and/or unsafe operations.



• The carriage of illegal narcotics.



• The carriage of hazardous materials.



• Operation of a drone that is equipped or armed with a dangerous weapon (section 363 of the FAA Reauthorization Act of 2018).



## **SECURITY INSTRUCTIONS**

Law enforcement may apply their existing authorities to interview and/or detain pilots who conduct prohibited drone operations.

#### **TYPES OF DRONE OPERATIONS:**

#### 1. Recreational Flyers

The FAA issued interim safety guidance for recreational flyers that reinforces recent changes to how, when and where users can fly drones for recreational purposes.

Users must comply with the following eight conditions in order to fly under the exception for recreational flyers:

- 1) Fly strictly for recreational purposes.
- 2) Follow the safety guidelines of a community based organization.
- 3) Keep your drone within your visual line of sight, or within the visual line-of-sight of an observer who is co-located and in direct communication with you.
- 4) Operate in a manner that does not interfere with, and gives way to, any manned aircraft.
- 5) Do not fly in controlled airspace (such as the airspace around many airports) unless you have an airspace authorization.
- 6) Fly your drone at or below 400 feet when in uncontrolled or "Class G" airspace.
- 7) Pass an aeronautical knowledge and safety test, when available (the FAA is currently developing the test).
- 8) Register and externally mark your drone with the FAA-issued registration number, and carry proof of registration with you.

Note: As the FAA works to implement the provisions listed above, please refer to <u>AC 91-57B</u> (as amended or superceded) for current guidance on compliance. Recreational flyers are required to register drones that weigh more than 0.55 lbs. Part 107 operators must register all drones, regardless of weight.



**2. Part 107 Operation** (also known as the small UAS rule) Part 107 operations will most likely comprise the majority of operations. This Playbook is a resource for law enforcement on Part 107 operations. See the quick reference guide on page 3.

#### 3. Public Aircraft Operations

Public aircraft operations are conducted by recognized government entities as a function of government. Only those operations that meet specific requirements qualify as public aircraft operations. For further information see <a href="mailto:faa.gov/go/dronepublicsafety">faa.gov/go/dronepublicsafety</a>

## 4. Operating a Drone 55 lbs. or Larger

The operation of a drone that is 55 lbs. or heavier requires specific approval from the FAA prior to an operation, or the operation must comply with specific standards and limitations approved by the FAA and be flown at a fixed site. Questions about these operations can be directed to your regional Law Enforcement Assistance Program (LEAP) Special Agent or to the FAA Regional Center (contact information is provided on Page 8 of this document).

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#### **Operations in the Vicinity of Certain Stadium Events**

The FAA issues Temporary Flight Restrictions (TFRs) to limit aircraft operations, including drones, at stadiums that host large sporting events. TFRs are in place starting one hour before the scheduled time of the event until one hour after the end of the event.

Any person who knowingly or willfully violates the rules pertaining to operations in a TFR may be subject to significant civil and criminal penalties under 49 U.S.C. 46307.

The TFR Point of Contact (POC) is listed on the TFR and, in coordination with the FAA, will have control over access to the airspace and should have a list of approved FAA waivers for operations within the TFR.



The TFR applies to all aircraft operations, including unmanned aircraft systems, unless the aircraft operator meets at least one of the following requirements:

- **A)** The aircraft operation has been authorized by Air Traffic Control (ATC) for operational or safety purposes;
- **B)** The aircraft operation is being conducted for operational, safety, or security purposes supporting the qualifying event, and is authorized by an airspace security waiver approved by the FAA;
- **C)** The aircraft operation is enabling broadcast coverage for the broadcast rights holder for the qualifying event and is authorized by an airspace security waiver approved by the FAA;
- **D)** The aircraft operation has been authorized by ATC for national security, homeland security, law enforcement or air ambulance purposes.

## **07** wh

## WHAT IS MY AUTHORITY?

### **FEDERAL LAWS** that may might apply, include, but are not limited to:

If law enforcement comes in contact with a drone pilot/operator, they can:

- + Ask the pilot/operator to see proof of registration of the aircraft
- + Ask to see the waiver for drone operations within the TFR

While law enforcement can ask, a UAS or drone pilot IS NOT required by federal regulation to make their UAS FAA Remote Pilot Certificate available.

If law enforcement officials suspect a drone operator of violating any federal law, they should pass the information on to the FAA for investigation. Examples of regulatory violations include reckless operations, operating beyond visual line of sight without approval, operating a drone while under the influence of alcohol or drugs, operating over people without approval, flying at night without approval, failing to yield the right-of-way to manned aircraft without approval, and flying in restricted airspace (including TFRs).

Interfering with first responders during wildfire suppression, law enforcement, or emergency response efforts is a violation of federal law and carries a civil penalty of up to \$20,000.

## LOCAL REGULATIONS that may apply, include, but are not limited to:

- +Trespassing on property from which the drone is operated
- +Disorderly and/or unsafe conduct
- \*Interfering with public safety operations
- Privacy/harassment laws

## CONTACT YOUR FAA LAW ENFORCEMENT ASSISTANCE PROGRAM SPECIAL AGENT FOR ASSISTANCE

Special agents from the FAA's Law Enforcement Assistance Program (LEAP) are your point of contact for federal, state, local, tribal, territorial, and international law enforcement agencies. LEAP special agents can provide information on drone enforcement and registration matters. Providing a LEAP special agent with reports of suspected unauthorized UAS incidents in a timely manner increases the FAA's ability to take enforcement action when appropriate. (NOTE: You may contact any LEAP agent if your assigned agent is not available.) You can contact either a LEAP special agent (they are responsible for public safety coordination) or one of the FAA's regional operation centers (they are responsible for aviation safety in the region).

## DOCUMENT AND PROVIDE THE FOLLOWING INFORMATION TO FAA

- Identity of operators and witnesses (name, contact information)
- Type of operation (recreational, commercial, public/governmental)
- Type of device(s) and registration information (number/certificate)
- Event location and incident details (date, time, place)
- Other evidence (photos, video, device confiscation)

Your local LEAP Special Agent's Name & Number: \_\_\_\_\_

## CONTACT YOUR FAA LEAP AGENT OR AN FAA REGIONAL OPERATIONS CENTER FOR ASSISTANCE

Regional Operations Centers (ROCs) are staffed 24/7 and should be contacted if you observe a drone that may potentially interfere with the safety or security of the National Airspace System. The ROC will ensure notification is made to manned air traffic in the vicinity as well as appropriate FAA offices.

FACILITY	STATES	PHONE NUMBER	EMAIL
Western ROC	AK, AZ, CA, CO, HI, ID, MT, NV, OR, UT, WA, WY	206-231-2089	9-WAS-OPSCTR@FAA.GOV
Central ROC	AR, IA, IL, KS, LA, MI, MN, MO, ND, NE, NM, OH, OK, SD, TX, WI	817-222-5006	9-CSA-ROC@FAA.GOV
East ROC	AL, CT, FL, GA, KY, MA, ME, MS, NC, NH, PR, RI, SC, TN, VI, VT	404-305-5180	9-ESA-ROC@FAA.GOV
	DC, DE, MD, NJ, NY, PA, VA, WV	404-305-5150	9-ESA-ROC@FAA.GOV

<sup>\*</sup> Note: You may contact any LEAP agent if your assigned agent is not available.

**WAIVER SAMPLE** 

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## 09

## REMOTE PILOT CERTIFICATE SAMPLE

Law enforcement and public safety officials may ask pilots operating under Part 107 (typically aircraft weighing under 55 lbs and not operated as a recreational or public/government aircraft) for their FAA Remote Pilot Certificate. However, they are not currently required by federal regulation to make their certificate available.



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## **REGISTRATION SAMPLE**

Law enforcement officials may ask drone operators for the aircraft's registration documentation. Failure to provide the document for inspection is unlawful and the operation or proposed operation should cease. Generally, FAA registration numbers for drones start with "FA" and have eight additional numbers. For example: FA12345678. An aircraft over 55 lbs may have a number that starts with the letter "N".

Sma	II UAS Certificate o	f Registration
Name:		
Manufacture	r:	
Model:		
Serial Numb	er:	
Certificate N	umber:	
Issued:	Expires:	The state of the s

For U.S. citizens, permanent residents, and certain non-citizen U.S. corporations, this document constitutes a Certificate of Registration. For all others, this document represents a recognition of ownership.

For all holders, for all operations other than as a model aircraft under sec. 336 of Pub. L. 112-95, additional safety authority from FAA and economic authority from DOT may be required.

This Small UAS Certificate of Registration is not an authorization to conduct flight operations with an unmanned alicraft. Operations must be conducted in accordance with the applicable FAA requirements. The operator of the alicraft is responsible for knowing and understanding what those requirements are. For more information on flying for non-model purposes, please visit the FAA website at www.faa.gov/uas



COA SAMPLE

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## **COA SAMPLE**

Law enforcement may ask to see a UAS operator's FAA approved Certificate of Waiver or Authorization (COA).

The COA must be specific to the type of operation and the event. The second standard provision of a Certificate of Waiver or Authorization is, "This certificate shall be presented for inspection upon the request of any authorized representative of the Federal Aviation Administration, or of any state or municipal official charged with the duty of enforcing local laws or regulations."

	[500]0	RAL AVIATION ADMINISTRATION
C	ERTIFICATE OF V	WAIVER OR AUTHORIZATION
SUED TO	(self-explanatory)	
LDDRESS	(self-explanatory)	
any opera	tion pursuant to the authority of this cer in this certificate, and such other requir	specifically described hereinafter. No person shall conduct rtificate except in accordance with the standard and special provisions rements of the Federal Aviation Regulations not specifically waived
PERATION	SAUTHORIZED	
	(Indicate in detail all operations auti	horized. Use a separate sheet of paper if necessary.)
JST OF WA	VED REGULATIONS BY SECTION AND ITILE	
_ tor wa	(This section not used for Unmanned	Air Vehicle authorizations
	(1 nis section not used for Orimannea	Air venicie authorizations.)
		TANDARD PROVISIONS
2. This of Admitthe do 3. The h	retrificate shall be presented for inspect nistrator of the Federal Aviation Admin ty of enforcing local laws or regulation older of this certificate shall be response	ficate shall be attached to and become a part hereof, ition upon the request of any authorized representative of the nistration, or of any State or municipal official charged with as, sible for the strict observance of the terms and provisions contained
Note: -		those Federal rules or regulations specifically referred to above. It
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	does not constitute a waiver of any Sta	tte law or local ordinance.
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Speci This c and is su	al Provisions Nos. 1 to	te law or local ordinance.  SPECIAL PROVISIONS  4 , inclusive, are set forth on the reverse side hereof.  date/time) to (Ending date/time) , inclusive, otice by the Administrator or his authorized representative.  BY DIRECTION OF THE ADMINISTRATOR
Speci This c and is su (self-e	al Provisions Nos. 1 to ertificate is effective from (Beginning object to cancellation at any time upon number of the second of the second object to cancellation at any time upon number of the second object to cancel	te law or local ordinance.  SPECIAL PROVISIONS  4 , inclusive, are set forth on the reverse side hereof.  date!time) to (Ending date!time) , inclusive, otice by the Administrator or his authorized representative.  BY DIRECTION OF THE ADMINISTRATOR (Signed by Appropriate Waiver Authority)

DEPARTMENT OF TRANSPORTATION

\WEB RESOURCES

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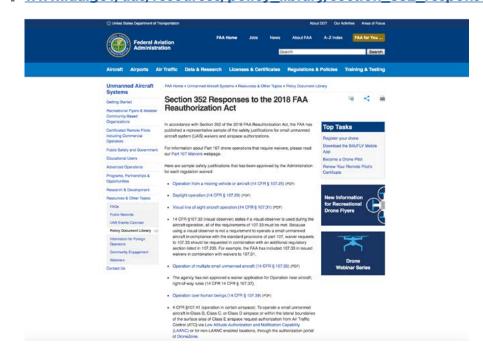
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## WEB RESOURCES

+ faa.gov/go/DronePublicSafety



+ www.faa.gov/uas/resources/policy\_library/section\_352\_responses/



# 13 INVESTIGATIVE NOTES AND/OR LOCAL STATUES

If your county or state has developed regulations specific to the operation of drones, please list here:

## Suggested questions you can ask a drone operator:

- 1. Ask to see License and Registration (you can document and/or photograph the information).
- + For the registration document, it should have a registration number that should also be legibly marked on the exterior of the drone.
- For the license, they should have either a paper temporary airman certificate or a plastic remote pilot certificate from the FAA. (Note: While law enforcement can ask, a UAS or drone pilot IS NOT required by federal regulation to make their UAS FAA Remote Pilot Certificate available.)
- 2. "What was the purpose of the flight?"

If the operator says the purpose was public aircraft operation or commercial/business, (or any other nexus to commercial operations,) skip to question 3.

2A. If the operator says modeler/hobby/recreation, ask what they were doing specifically.

Answers should be along the lines of: practicing, just flying for fun, taking pictures/video, showing my friend how it works, etc...

- 3. "Who was the remote pilot in command?"
  - If there is only one individual, the answer can be presumed and therefore skipped.
- 4. "What company do you work for or what is the name of your company?"

The person may be operating in a freelance capacity, on a contract basis, or something similar. In most of these cases, the person who hired them is less likely to be the subject of an investigation, but they may provide evidence.

- 4A. Ask if there is a copy of the contact/work order.
- 4B. Ask the name and contact information for the person who hired them.
- 4C. Ask if they have done other work, have a website, etc...

- 5. Visual line-of-sight (VLOS) of the aircraft and Visual Observers.
  - 5A. Make note of operators using first-person Point of View (POV) technologies, operating the UAS on the opposite side of buildings, or down other streets obstructed from view, etc. (something other than standing there looking at the UAS, essentially).
  - 5B. If there are other individuals assisting (Visual Observers), ask how they assisted or how they were in communication with the operator.
- 6. Ask how high and where they flew the UAS. If law enforcement observed the flight, include descriptors or estimation of the altitude, when possible. Examples: aircraft was approximately level with the 10th floor of the building at 1400 Main Street; the aircraft flew over the stadium as it returned to the operator, etc.
- 7. Make note of operations at night or of unlit drone operated after sunset to before sunrise.
- 8. Document all operations conducted while the operator was under the influence.
- 9. Document interference with law enforcement/emergency response efforts. Provide impacts, when applicable.
- 10. If any of the following situations may have occurred, inquire/document:
- + Operating from a moving vehicle (may be allowed in certain instances, but the FAA investigation can make that determination)
- + Operation of multiple unmanned aircraft by the same individual
- + Carriage of hazardous material
- Operation over human beings (most likely, crowds of people; estimate/use descriptors to illustrate crowd density)
- + Temporary Flight Restriction (TFR) violations
- + Object dropped from the drone

- 11. Note any other characteristics of the operation that were not in the interest of public safety. Examples include:
- ◆ Operating low over the heads of non-participating persons (notably if individuals moved out of the way to avoid the drone)
- + Flying between vehicles or operating over a roadway in use
- + Chasing people or pets
- + Attaching a firearm or weapon to the drone
- + Injuries to people or damage to property

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## INTERFERING WITH WILDFIRE SUPPRESSION, LAW ENFORCEMENT OR EMERGENCY RESPONSE EFFORTS IS A VIOLATION OF FEDERAL LAW

Flying a drone near a wildfire is dangerous and can cost lives. When drones are flown near wildfires, fire response agencies often have to ground their aircraft due to the risk of a midair collision. This can delay the airborne response and pose a threat to firefighters on the ground and residents and property in nearby communities, as well as allow wildfires to spread. Sadly, these incidents occur on a regular basis. In recent years, there have been more than 100 documented cases of unauthorized drones flying near wildfires

Federal law (18 U.S.C. 40A.(a) prohibits drone flyers from knowingly or recklessly interfering with wildfire suppression or related law enforcement or emergency response efforts. Federal law (49 U.S.C. 46320) also prohibits interference with wildfire suppression, law enforcement, or emergency response effort. Individuals who violate these regulations are subject to up to two years of imprisonment and a civil penalty of not more than \$20,000.

#### What can you do?

- + Report non-authorized drone flights to the FAA with the information provided in this guide.
- Use your agency's social media to warn your community about the impact of UAS or drone flights on public safety operations and amplify FAA messages on @FAADroneZone.
- **+** Work with your local media outlets (TV, Radio, Newspapers) to promote this safety message.
- + Questions? Please contact your regional Law Enforcement Assistance Program (LEAP) special agent at <u>LEAP@faa.gov</u> or via the contact information provided in this guide.

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